# EV517725489US

10-12-04

PTO/SB/21 (02-04)

8.2004	hder the F	Paperwor	k Reduction Act of 1995	5, no pers	ons are requ	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE uired to respond to a collection of information unless it displays a valid OMB control number.				
<b>NOEWY</b>	5					Application Num	ber	10/7	71,867	
	Tì	RAI	NSMITTA	L		Filing Date		Febi	ruary 4, 2004	
			FORM			First Named Inve	entor	Sall	y W. RAMSEY	
	(to be used	for all c	orrespondence after in	itial filin	g)	Art Unit		1762	2	
						Examiner Name		То Е	Be Assigned	
Tota	al Number of	Pages i	n This Submission			Attorney Docket	Number	312	70-704.201	
	ENCLOSI					RES (Check all that	apply)			
$\boxtimes$	Fee Trans	mittal I	Form		Drawing	(s)			After Allowance communication to Technology Center (TC)	
	Fee	Attach	ned		Licensin	g-related Papers			Appeal Communication to Board of Appeals and Interferences	
	Amendment/Reply  After Final				Petition				Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
	After Final			Petition to Convert to a Provisional Application					Proprietary Information	
	Affidavits/declaration(s)			Power of Attorney, Revocation Change of Correspondence Address				Status Letter		
	Extension				Termina	l Disclaimer	,	$\boxtimes$	Other Enclosure(s) (please identify below):	
	Express A	bandor	nment Request		Request	for Refund			Declaration	
$\boxtimes$	Information	on Disc	losure Statement		CD, Nur	nber of CD(s)	•		Form PTO-1449 3 References	
	Certified (		f Priority	Remai	rks					
	Document Response	` '	sing Parts/							
	Incomplet Res		ication to Missing Parts							
			CFR 1.52 or 1.53	TUDE	OF A DD	LICANIE AEMONA	VEW OR 10			
Firm or Individ	lual name	Danie				LICANT, ATTORN 4, WILSON SONSIN			ROSATI	
Signatu	ıre	B	on Rung							
Date October 8, 2004										
						F TRANSMISSION				
on 08-0	y certify that Oct-04 addres	this cor	respondence and all me Commissioner for Pate	arked atta ints, P.O.	Box 1450,	re being deposited by E Alexandria, VA 22313	express Mail, 13-1450.	Express	Mailing Label No.: EV 517725489 US	
Typed	or printed na	me	Michael Boyd							
Signatu	ıre		-			1	Date	Octobe	er 8, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFK 1.14. This collection is estimated to take 2 nours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a said OMB posterior.

FEE TRANSM	ITTAI.		Complete if Known
FEFFective 10/01/2004. Patent fees are subject to annual revision.  applicant claims small entity status. See 37 CFR 1.27.	Application Number	10/771,867	
		Filing Date	February 4, 2004
	for FY 2005  104. Patent fees are subject to annual revision.  mall entity status. See 37 CFR 1.27.	First Named Inventor	Sally W. RAMSEY
applicant claims small entity status. See	37 CFR 1.27.	Examiner Name	To Be Assigned
TOTAL AMOUNT OF BANAGET	(6) 120.00	Art Unit	1762
TOTAL AMOUNT OF PAYMENT	(\$) 130.00	Attorney Docket No.	31270-704.201

METHOD O	F PAYMEN	T (check all that apply)				FEE CAL	CULATION (continued)	
Check Credit		Money Dother None	3. Al	DDITION	AL FEE	cs		
Deposit Account:		Order	Large	Entity	Small	Entity		
Deposit	23-2415		Fee	Fee	Fee	Fee	-	Fee
Account Number		sini Goodrich & Rosati	Code	(\$)	Code	(S)	Fee Description	Paid
Account Name	wilson Son	sini Goodrich & Rosati	1051	130	2051	65	Surcharge - late filing fee or oath	130.00
The Director is authoriz		•••	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Charge fee(s) indicate	_	Credit any overpayments	1053	130	1053	130	Non-English specification	
Charge any additional	• • • •	1,	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fees(s) indicate above-identified depos	ed below, exc it account.	ept for the filing fee to the	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
F	EE CALCU	LATION	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEI			1251	110	2251	55	Extension for reply within first month	
Large Entity Smal	l Entity Fee		1252	430	2252	215	Extension for reply within second month	
Code (\$) Code		Fee Description Fee Paid	1253	980	2253	490	Extension for reply within third month	
1001 790 2001	395	Utility filing fee	1254	1,530	2254	765	Extension for reply within fourth month	
1002 350 2002	175	Design filing fee	1255	2,080	2255	1,040	Extension for reply within fifth month	
1003 550 2003	275	Plant filing fee	1401	340	2401	170	Notice of Appeal	
1004 790 2004	395	Reissue filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1005 160 2005	80	Provisional filing fee	1403	300	2403	150	Request for oral hearing	,
	SUBTOTA	AL (1) (\$) 0	1451	1,510	1451	1,510	Petition to institute a public use proceeding	07/185
2. EXTRA CLAIM FEI			1452	110	2452	55	Petition to revive - unavoidable	/01
	Extra Claim:	Fee from s below Fee Paid	1453	1,370	2453	685	Petition to revive - unintentional	
	••=	x =	1501	1,370	2501	685	Utility issue fee (or reissue)	232415
Independent Claims -3*	•=	x =	1502	490	2502	245	Design issue fee	
Multiple Dependent		=	1503	660	2503	330	Plant issue fee	0083 DA
Large Entity S	mall Entity	_	1460	130	1460	130	Petitions to the Commissioner	<u> </u>
Fee Fee Fe Code (\$) Cod		Fee Description	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	130
1202 18 220	. ,	Claims in excess of 20	1806	180	1806	180	Submission of Information Disclosure Stmt	HAUI
1201 88 220	)1 44	Independent claims in excess of 3	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	4/2004 CCHAU C:1051
1203 300 220	150	Multiple dependent claim, if not paid	1809	790	2809	395	Filing a submission after final rejection	14/2004 FC:1051
1204 88 220	)4 44	**Reissue independent claims over original patent	1810	790	2810	395	(37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b))	10/14, 01 FC
1205 18 220	)5 9	**Reissue claims in excess of 20 and over original patent	1801	790	2801	395	Request for Continued Examination (RCE)	- 0
•		<del>                     </del>	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOT	AL (2)	(\$)0	Other fee	(specify)	•			
**or number previously p	aid, if greate	r; For Reissues, see below	* Reduce	d by Basic	Filing Fe	e Paid	SUBTOTAL (3)	\$ 130.00
SUBMITTED BY							(Complete (if applicable))	

SUBMITTED BY					(Complete (i)	(applicable))	
Name (Print/Type)	Daniel E. Raymond, Ph.D.	Registration No. (Attorney/Agent)	53,504		Telephone	858-350-2321	
Signature	Jan Rayal			Date O	ctober 8, 2004		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DI	ECLARAT			Attorney Docket Number First Named Inventor	31270-704.201 Sally W. Ramsey
	PATEN	IT APPL	LICATION	COMP	LETE IF KNOWN
				Application Number	10/771,867
	Declaration Submitted	ibmitted OR Submitted after Initial Filing (surcharge		Filing Date	February 4, 2004
	PATENT A (37 C) Declaration Submitted		Art Unit	1762	
	rung			Examiner Name	To Be Assigned

I hereby declare that: Each inventor's residence,	mailing address, a	nd cilizenship are as st	ated below next to their	name.	
I believe the inventor(s) numbers which a patent is sought or			ventor(s) of the subject	matter which is c	laimed and for
PROCESS FOR AP			N RESISTANT, 100 D USE IN MOTOR V		/ CURABLE
		(Title of the Inve	ntion)"		
the specification of which					
is attached hereto					
OR  was filed on (MM/DE	100000	MA   se linked States A	pplication Number or PC	F International	
	· · · · · · · · · · · · · · · · · · ·				
Application Number 10/77	1,887 and was ar	mended on (MM/DD/YYY	Y) (If applicable)	•	
I hereby state that I have re amended by any amendmen			he above identified spe	cification, includir	ng the claims, as
I acknowledge the duty to continuation-in-part applicational or PCT inte	ions, material infor	mation which became	available between the f	d in 37 CFR 1.5 filing date of the	56, including for prior application
I hereby claim foreign prior inventor's or plant breeder's country other than the Unite application for patent, invent before that of the application	rights certificate(s d States of Americ or's or plant breede	i), or 365(a) of any PC ia, listed below and ha er's rights certificate(s).	T international applicative also identified below.	on which designa by checking the	ated at least one box, any foreign
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Co Yes	py Attached? No
		•	0		
					0
			0		0
Additional foreign applicat	on numbers are liste	ed on a supplemental pric	ority data sheet PTO/SB/0	28 attached heret	

[Page 1 of 2]

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **DECLARATION** — Utility or Design Patent Application

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Name						
Address						
Wilson Sonsini Goodrich & Rosati			•			
Address						
650 Page Mill Road						
City			State .	ZIF	>	
Palo Alto		1	CA			94304
Country	relephone			Fa	×	
U.S.	650-493	8-9300				650-493-6811
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INVENT	OR:	A petition has been filed for this unsigned inventor				signed inventor
Given Name (first and middle [if any]) Sally W.			Family Name or Sumame		Ra	amsey
Inventory Signature W Ramo	<b>'</b>					Date 10/05/04
Residence: City	State		Country			Citizenship
Tallmadge	Ohio		USA			USA
Mailing Address						
183 Timothy Drive						
City	State		ZIP			Country
Tallmadge	Ohio		44278	3		USA
NAME OF SECOND INVENTOR:	☐ A pe	lition ha	s been filed for thi	is unsigr	ned is	nventor
Given Name (first and middle [if any])			nily Name Sumame			
Inventor's Signature		t	**************************************			Date
Residence: City	State		Country			Citizenship
Malling Address	· · · · · · · · · · · · · · · · · · ·				1	
City	State		ZIP			Country
Additional inventors or legal representative are b	eing named on the	= snbb	emental shoot(s) PT	O/SB/02/	4 or 0	2LR attached hereto;
C Provincia aneumie ni schai tehiescurane sae p	en America outue	= subb	circital shocks) P1	U100/U2/	4 OF 0	LIN BRIBCHED HERETO;

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### POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	10/771,867	
Filing Date	February 4, 2004	
First Named Inventor	Sally W. Ramsey	
Title	Process for Applying an Opaque	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket Number	31270-704.201	

	•						
I hereby ap	ppoint:	Г					
<b>✓</b> Prex	ctitioners associated	with the Customer Number:		021971			
OR		L					•
Prac	ctitioner(s) named bel	low:					
		Name			Registration	n Number	
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Cou				F T			tunian and a sum and a sum a s
I am the:	phone			Fax			
	pplicant/inventor.						
		the entire interest. See 37 CFR : FR 3.73(b) is enclosed. (Form P					
		SIGNATURE of A	Applicant or Ass	ignee of f	Record		*
Name	Ecology Coating, In	ıç.					
Signature	Sally "	V Ramsey Vice	Presider	1 Co	ology C	ratio	
Date	10/05/0	4			Telephone	330-6	33-3500
NOTE; Signa forms if more	atures of all the inventor to than one signature is r	s or assignees of record of the entire required, see below.	e interest or their rep	presentative	e(s) are required.	Submit mulSple	
V Tot	al of <u>1</u>	forms are submitted.					

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of:

Applicant:

Sally W. RAMSEY

Serial No.:

10/771,867

Filed:

February 4, 2004

Title: Process for Applying an Opaque, Corrosion Resistant, 100% Solids, UV Curable Finish to Parts for Underhood Use in Motor

Vehicles

Group Art Unit: 1762

Examiner: To Be Assigned

WSGR Reference No.: 31270-704.201

Certificate of Mailing Under C.F.R. §1.8

I hereby certify that this correspondence and all marked attachments are being deposited by Express Mail, Express Mailing Label No.: EV 517725489 US on 08-Oct-04 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Michael Boyd

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Sir:

Applicants hereby submit an Information Disclosure Statement along with attached form(s) PTO/SB/08. A copy of each listed publication is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicants further request that the Examiner initial and return the attached form(s) PTO/SB/08 in accordance with MPEP §609.

Applicants reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

$\boxtimes$	This s	tatemen	nt qualifies under 37 C.F.R.	§1.97, subsection (b) because:					
	$\boxtimes$	(4)	It is being filed before the request for continued exam	mailing of a first Office Action after the filing of a nination under § 1.114.					
$\boxtimes$	-	Copies of references listed on the attached Form PTO/SB/08 are enclosed herewith EXCEPT THAT:							
		accord applic becaus applic	lance with 37 CFR 1.98 ation publication listed or se this U.S. patent application	ocuments and non-patent literature are enclosed in (a)(2). (The U.S. patents and each U.S. patents the attached Form PTO-1449 are not enclosed on was filed after June 30, 2003 or this international stage under 35 USC §371 after June 30, 2003 (see der 37 CFR 1.98 (a)(2)(i).					
	fees o	f \$0.00	and charge any additional	s hereby authorized to charge the above-referenced fees or credit any overpayment associated with this 3-2415 (Docket No.31270-704.201).					
			·	Respectfully submitted,					
				WILSON SONSINI GOODRICH & ROSATI					
Dated:		/ 0	18/04	By: Lay long Ph.D.  Registration No. 53,504					
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PTO/SB/08A (08-03)

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Complete if Known 10/771,867 Application Number Substitute for form 1449/PTO February 4, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Sally W. Ramsey STATEMENT BY APPLICANT (Use as many sheets as necessary) Art Unit 1762 **Examiner Name** To Be Assigned Sheet of 2 Attorney Docket Number 31270-704.201

		U.S. P.	ATENT DOC	UMENTS	•
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 4,210,687	07/01/1980	Obendorf et al	
	AB	US-4,234,466	11/18/1980	Takahashi et al.	
	AC	US-4,721,734	01/26/1988	Gehlhaus et al.	
	AD	US-5,182,148	01/26/1993	Kapp	
	AE	US-5,453,451	09/26/1995	Sokol	
	AF	US-5,733607	03/31/1998	Mangum et al	
	AG	US-6,039,798	03/21/2000	Aldcroft et al.	
	AH	US-2001/0051229	12/13/2001	Witt	
	AI	US-2002/0032249	03/14/2002	Eckberg et al.	
	AJ	US-2003/0045598	03/6/2003	Chen et al.	<del> </del>
	AK	US-2003/0185990	10/02/2003	Bittner et al.	
	AL	US-2004/0071949	04/15/2004	Glatkowski et al.	
		US-			
	1	US			
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le				

Examiner	Date	•
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

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				Complete if Known		
Substitute for	Substitute for form 1449/PTO		Application Number	10/771,867		
INFORMATION DISCLOSURE			CLOSURE	Filing Date	February 4, 2004	
STATEMENT BY APPLICANT		First Named Inventor	Sally W. RAMSEY			
(Use as many sheets as necessary)			cessary)	Art Unit	1762	
				Examiner Name	To Be Assigned	
Sheet	2	of	2	Attorney Docket Number	31270-704.201	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	BA	Kaminski, Dennis, "Faster, Friendlier, and Fewer Rejects", Industrial paint and Powder Magazine, April 28, 2004.	
	ВВ	Holl, Peter et al., "UV Curing – The Challenge in the Automotive Industry", RadTech International North America, 6935 Wiscosin Avenue, Suite 207, Chevy Chase, MD 20815	
	ВС	Nanocryl-Nonosilica Reinforced (Meth-)Acrylates, Product Brochure, hanse chemie GmbH, 2003	
<u> </u>			

Examiner	Date		 
signature	Consi	dered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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